

Self – Certification For Individual FATCA/CRS Declaration Form

(Each account holder needs to sign a separate form)

Customer ID: Account No:

Name:

Part 1: Please fill in the details for each of the following fields:

I.	Country of Birth:		
	Country of Citizenship:		
	Country of Residence for Tax Purposes:		
II.	U.S. Person (Citizen or Resident of the United States of America)	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Part 2:

Please note that if in all fields above, the country mentioned by you is India (except in case of seafarers) and if you do NOT have U.S. person status, please proceed to Part 3 for signature.

I. If for any of the above fields, the country mentioned by you is NOT India and/or if your U.S. person status is Yes, please provide the Taxpayer Identification Number (TIN) or functional equivalent as issued in the specific country in the table below:

TIN	Functional Equivalent (Please specify name and number)	Country of Issue
1		
2		
3		

II. If you satisfy the criteria mentioned in 2 (I.) above but do not have Taxpayer Identification Number/functional equivalent, please tick the reason for the same as given below:

<input type="checkbox"/> I am a person resident out of India with (choose only if applicable)	
<input type="checkbox"/> Country not issuing TIN/Functional equivalent	(Please mention Visa / Residence/ Work permit number)

Dependent visa	<input type="text"/>	(Please mention Dependent Visa number)
Student visa	<input type="text"/>	(Please mention student Visa number)
Seafarer status	<input type="text"/>	(Please mention CDC/visa number)
Going to the country of residence for first time	<input type="text"/>	<input type="text"/>

Imp: TIN/functional equivalent to be communicated to the bank within 90 days, else account will get closed

OR

<input type="checkbox"/> I am a person resident in India as well as resident for tax purposes in India
(Please also fill Part 4, self-certification)

III. If you declare US person status as 'No' but your Country of Birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reason/s for not having relinquishment certificate:

Please also fill Part IV Self-Certification.

(Mention Document type and number)

Part 3: Customer Declaration (Applicable for all customers)

a. Under penalty of perjury, I certify that:

- The applicant is (i) an applicant taxable as a U.S. person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a U.S. person). or
- The applicant is taxable as a tax resident under the laws of a country outside India. (This clause is applicable only if the account holder is a tax resident outside of India).

b. I understand that the Bank is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA / CRS. The Bank is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I shall seek advice from professional tax advisors for any tax questions

c. I agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

d. I agree that as may be required by domestic regulators / tax authorities, the Bank may also be required to inform reportable details to Central Board of Direct Taxes (CBDT) or close or suspend my account

e. I certify that I provide the information on this form and to the best of my knowledge and belief the certification is true, correct, and complete including the taxpayer identification number / functional equivalent number of the applicant.

f. In the event that any details given by me are found to be incorrect, as a consequence of which, penalty/ies is/are levied by the regulator/s on the Bank, I authorize the Bank to recover the full penal amount(s) from my account

Name:

Signature

Date: DDMMYY

Part 4: Self-Certification: (Not applicable for NRI customers except for point (b) below) To be filled only if:

- Any of the indicia parameters is outside India and TIN or functional equivalent is not available since not a resident for tax purpose outside India, or
- Country of birth is U.S. and U.S. person is mentioned as "No" in Part 1.

I confirm that I am neither a U.S. person nor a resident for tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India.

Signature

Document Proof Submitted
(Please tick documents being submitted)

<input type="checkbox"/> Document Proof Submitted	<input type="checkbox"/> Passport	<input type="checkbox"/> Election ID Card	<input type="checkbox"/> Govt. Issued ID Card
	<input type="checkbox"/> PAN Card	<input type="checkbox"/> Driving License	<input type="checkbox"/> NREGA Job Card

Note:

The term United States (U.S.) person means

- An individual, being a citizen or resident of the United States of America.
- Partnership or corporation organized in the United States of America or under the laws of the United States of America of any State thereof;
- A trust if: (i) a court within the United States of America would have authority under applicable law to render orders or judgements concerning substantially all issues regarding administration of the trust; and (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust;
- An estate of a decedent who was a citizen or resident of the United States of America

Functional equivalent of TIN includes the following:

A social security number / national insurance number / citizen or personal identification code or number / resident registration number.